

## Overview

Dentons' Financial Regulation and Funds practice in Germany advises you on all aspects of financial regulatory and risk management, compliance and enforcement work. In particular, our practice covers regulatory change projects (such as MiFID II, MAR and EMIR), the structuring of capital markets transactions and fund creation. We also advise banks on recovery and resolution matters (BRRD/SRM).

## Key service areas

- Strategic and technical advice on the MiFID reform, MAR, EMIR, SFTR and other regulatory changes
- CRR compliance, including the issuance of own funds, optimization of regulatory ratios and exposure limits
- Regulatory structuring and optimization of capital markets transactions, e.g. with a view to bail-in risks
- Setting up and structuring alternative investment funds, in particular with a view to investments by insurance companies (e.g. under Solvency II)
- Forensic services and internal investigations, including communication with regulators
- Review, preparation and negotiation of contracts from a regulatory perspective, including outsourcing agreements
- Regulatory compliance (e.g. internal governance, remuneration, distribution requirements, selling restrictions)
- Bank recovery and resolution issues, including global risk management and default management
- Bank M&A transactions, group structures and the setting up of banks, branches and investment firms
- Global and local custody, in particular with a view to segregation requirements and OGAW V
- Local and global risk and default management, including assessment of country risks and stand-by support
- Development of new financial services (e.g. crowdfunding)
- Advice on sanctions, anti-money laundering rules, including know-your-customer requirements
- Designing seminars for members of the management and the supervisory board on regulatory matters

## Our approach

As the world's largest law firm we have comprehensive knowledge and a wealth of experience in financial market regulation. Our globally integrated regulatory and Capital Market Law practice group combines the knowledge of local markets with unique international experience. We offer you competent advice in all fields of financial regulation, with a special focus on the implementation of cross-border projects.