

## Overview

Address your legal challenges alongside professionals who have the experience and the industry know-how to address effectively the toughest issues our banking and financial services industry clients face, and to navigate the markets and arenas in which they operate.

Confront your toughest problems with confidence. Leverage strategic and commercially sound advice to defuse a difficult dispute at an early stage. Where litigation is inevitable, we possess the skills to guide you through the legal and strategic challenges of litigation.

Dentons represents a wide variety of industry clients in disputes involving complex financial products, capital markets, financial services transactions and instruments, as well as securities and financial fraud disputes and investigations, in all forums in which these disputes arise.

Drawing upon the industry knowledge and strength of our transactional and regulatory practices in all major financial centers around the globe, you obtain an unparalleled advantage from our interdisciplinary approach. We stand together ready to represent your interests.

## Representative Experience

- **Banque Palatine:** Representing the defendant in a lawsuit against Banque Delubac arising out of the termination of an IPO mandate.
- **Genworth Financial:** Lead counsel defending in a federal securities fraud action in the US District Court for the Eastern District of New York. The plaintiffs sued on behalf of a putative nationwide class of investment clients of Genworth's Private Client Group, alleging that Genworth Financial Wealth Management misrepresented material facts to them regarding its mutual fund portfolios and their asset allocation strategies, and challenging revenue-sharing arrangements through administrative service fees. The lawsuit claims violations of Section 10(b) of the Securities Act and Rule 10b-5. Plaintiffs' claims of breach of fiduciary duty have been dismissed by the court.
- **Individual investor groups:** Defending groups of individual investors and banks worldwide from litigation brought by representatives of the estates of Bernard Madoff, Bayou Group and related entities to recover multimillions in transfers or repaid investments. The Madoff case is the first to employ bankruptcy fraudulent transfer litigation against investors for recovery of ordinary withdrawals from their brokerage accounts that are insured under the Securities Investor Protection Act.
- **Proprietary trader:** Securing an important win in federal district court for a proprietary trader and trading firm that were defendants in an action for injunctive relief by the Commodity Futures Trading Commission (CFTC). Defeated the CFTC's motion for a preliminary injunction which sought to ban our client from trading in the futures markets while awaiting trial for engaging in the market disruptive practice of "spoofing" (bidding or offering with intent to cancel the bid or offer before execution). The judge deemed the injunction request moot because our client had already imposed voluntary limitations on the size and speed of their trading. The case was closely watched, as it was one of the first times the spoofing law, enacted as part of Dodd-Frank, was challenged in court. After the government's preliminary injunction motion was defeated, a beneficial settlement was reached.
- **Various Lessors:** Advising in the insolvency of Canada 3000 in a case that was litigated up to the Supreme Court of Canada concerning statutory joint and several liability and priority issues in relation to statutory aircraft seizure and detention rights of Canada's navigation and airport authorities.