



## AlaFile E-Notice

01-CV-2023-000218.00

To: STEPHEN BARGAINER PORTERFIELD  
stephen.porterfield@dentons.com

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# NOTICE OF ELECTRONIC FILING

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IN THE CIRCUIT COURT OF JEFFERSON COUNTY, ALABAMA

ULT TRUST  
01-CV-2023-000218.00

The following CASE STATUS REPORT was FILED on 7/28/2025 10:29:30 AM

Notice Date: 7/28/2025 10:29:30 AM

JACQUELINE ANDERSON SMITH  
CIRCUIT COURT CLERK  
JEFFERSON COUNTY, ALABAMA  
716 RICHARD ARRINGTON, JR BLVD  
BIRMINGHAM, AL, 35203

205-325-5355  
jackie.smith@alacourt.gov

**IN THE CIRCUIT COURT OF JEFFERSON COUNTY, ALABAMA  
(Birmingham Division)**

<b>In re:</b>	)	
	)	
<b>ULT Trust,</b>	)	<b>CASE NO.: CV-2023-000218-00</b>
	)	
<b>Petitioner.</b>	)	

**STATUS REPORT**

COMES NOW, ULT Trust, and in compliance with this Court's Order of November 29, 2023, hereby submits the following Status Report:

1. Previous Status Reports were filed with the Court on January 29, 2024, April 29, 2024, July 29, 2024, October 28, 2024, January 27, 2025, and April 29, 2025, and posted on the website previously provided to all creditors.
2. The collection of accounts receivable are continuing with the agency previously employed by Universal Lighting Technologies, Inc. and engaged by ULT Trust.
3. The only substantive updates are with respect to the Canadian assets referenced in the prior Status Reports. The trustee in the Canadian bankruptcy proceeding has indicated that a dividend in the approximate amount of \$60,000.00 will be paid to ULT trusts around the end of this month. Further, counsel engaged in Montreal has made some progress with respect to a possible tax refund due from Canada, and the ULT trust accountant will be speaking with the Montreal counsel in the next few days to determine if the necessary records are available to make a request for that refund.
4. The last communication from Hilco Global indicates no progress in monetizing assets in Mexico.

5. The 5500 tax returns for the Universal Lighting Technology 401k plan have been filed for 2002 and 2003. The 2004 return has not yet been filed. The amount of penalties due, if any, are not known at this time.

6. ULT has a valid accounts receivable claim versus a company named LumenFocus for which the trust had to hire North Carolina counsel, as the defendant has disputed the debt. A lawsuit is pending, written discovery has commenced, and it is expected to take at least several months for that litigation to reach its conclusion.

7. As of the date of this filing, Petitioner has approximately \$72,000.00 in the ULT Trust account.

8. Petitioner will file another status report with this Court within ninety (90) days from the date of this report, or at such other time as directed by the Court. Petitioner will also post this Report on the website previously provided to all creditors.

Dated: July 28, 2025

/s/ Stephen B. Porterfield

Stephen B. Porterfield  
**Counsel for Petitioner**

**OF COUNSEL:**

**DENTONS SIROTE PC**

2311 Highland Avenue South

P.O. Box 55727

Birmingham, AL 35255-5727

Tel.: (205) 930-5278

Fax: (205) 930-5101

stephen.porterfield@dentons.com

**CERTIFICATE OF SERVICE**

I hereby certify that on July 28, 2025, I filed the foregoing document with the Clerk of Court via the AlaCourt electronic filing system.

/s/ Stephen B. Porterfield

OF COUNSEL