

Conduct Rules Training for FCA firms: How can we help?

Dentons provides varied support, including:

- in-person sessions with the board – setting the tone from the top;
- case study based webinars for HR, in-house Legal and Compliance; and
- tailored training videos for use with different categories of staff.

Client feedback on Conduct Rules training for HR and in-house legal

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I thought it was very thought-provoking and the team clearly enjoyed the opportunity to participate in all the case studies and think more broadly about the scenarios discussed.

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Excellent sessions, thank you so much.

The Conduct Rules

Individual Conduct Rules

RULE 1

Act with integrity.

RULE 2

Act with due skill, care and diligence.

RULE 3

Be open and cooperative with the FCA and other regulators.

RULE 4

Treat customers fairly.

RULE 5

Observe proper standards of market conduct.

Further information



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